

01/24/01

01-25-01

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

CHECK BOX, if applicable: ☐ DUPLICATE  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.:	09792909-4785
First Named Inventor:	Hiroji KAWAI
Title of Invention:	Semiconductor Device and Its Manufacturing Method
Express Mail Label No:	EL654782046US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patent BOX Patent Application Washington, DC 20231
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<p>1. <input checked="" type="checkbox"/> This Form includes the Fee Transmittal (See Box 18) (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. (See 37 CFR 1.27)</p> <p>3. <input checked="" type="checkbox"/> Specification <i>Total Pages</i> <u>47</u> (46 pages and 1 cover page)</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) <i>Total Sheets</i> <u>8</u> (Figs. 1-14)</p> <p>5. <input checked="" type="checkbox"/> Declaration and Power of Attorney <i>Total Pages</i> <u>2</u></p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)</p> <p>c. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R in duplicate; or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p>	<p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s)) <i>Total Pages</i> <u>2</u></p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy Assignment Papers from a prior application</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS) is enclosed:</p> <p>a. <input type="checkbox"/> IDS By Applicant (PTO/SB/08A or PTO-1449)</p> <p>b. <input type="checkbox"/> Copies of IDS Citations are enclosed</p> <p>c. <input type="checkbox"/> Copy IDS Citations from a prior application</p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment "A" <i>Total Pages</i> <u>1</u></p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (properly addressed; postage prepaid)</p> <p>15. <input checked="" type="checkbox"/> Certified copy of Japanese Priority Document: Document No. <u>10-147492</u>, filed on <u>May 28, 1998</u>.</p> <p>16. <input type="checkbox"/> Request and Certification under 35 USC 122</p> <p>a. <input type="checkbox"/> Non-publication Request (PTO/SB/35 or equivalent)</p> <p>b. <input type="checkbox"/> Publication Request (PTO/SB/35 or equivalent)</p> <p>17. <input checked="" type="checkbox"/> Other:</p> <p>a. <input checked="" type="checkbox"/> Express Mail Certificate (No. <u>EL654782046US</u>)</p>
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18. ☒ CONTINUING APPLICATION. If continuing application, check appropriate box and supply the requisite information:

a. ☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/316,044

b. ☒ Prior application information: Examiner Name: J. GARCIA Group Art Unit: 2823

c. ☒ The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE
	TOTAL CLAIMS	4	0	\$18.00	\$0.00
	INDEPENDENT CLAIMS	1	0	80.00	0.00
	ANY MULTIPLE DEPENDENT CLAIMS?	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO		270.00	0.00
	TOTAL FILING FEE				\$710.00

d. ☒ In connection with this application, the Commissioner is hereby authorized to credit overpayments or to charge any additional fee required to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.

e. ☒ A check including the amount of \$710.00 to cover the total filing fee is enclosed.

19. ☒ CORRESPONDENCE ADDRESS:

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DATE: JANUARY 24, 2001

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Christopher P. Rauch (Reg. No. 45,034)  
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I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Divisional Application of Hiroji KAWAI entitled "SEMICONDUCTOR AND ITS MANUFACTURING METHOD" (Consisting of 46 pages and 1 cover sheet: Total 47 pages)

Utility Patent Application Transmittal Letter (1 page in duplicate)

Drawings: 8 sheets, Figures 1-14

Preliminary Amendment accompanying filing of application (1 page)

Copy of signed Declaration and Power of Attorney (2 pages)

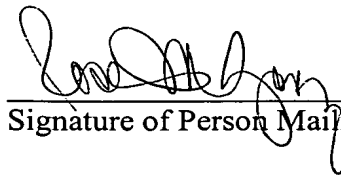
Copy of signed Assignment Papers (2 pages)

Certified copy of Japanese Priority Document 10-14792 filed on May 28, 1998 (1 page)

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Signature of Person Mailing Application and Fees